

# **Non-small Cell Lung Cancer**

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**Division of Cancer Prevention, NCI**

# Outline

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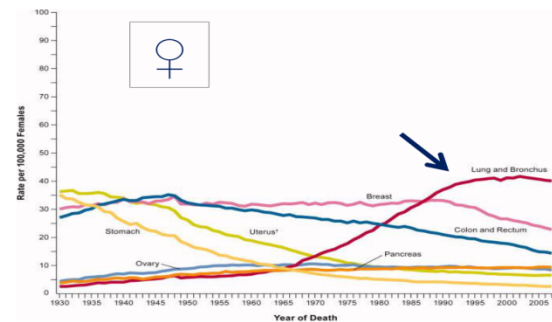
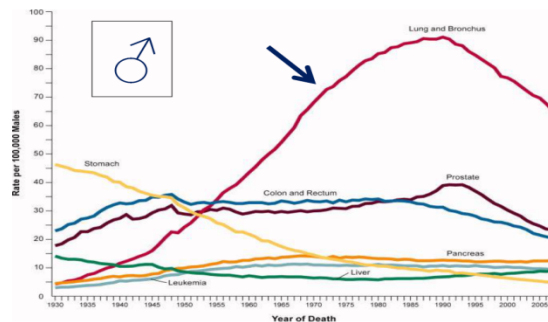
- **Brief overview of lung cancer treatment**
- **Cancer prevention**
  - **General concepts**
  - **Examples of specific studies – budesonide, aspirin, myo-inositol**
  - **Strategies for clinical trials**
- **Early detection – CT screening**

# US Lung Cancer Statistics

## US Lung Cancer Statistics, 2015

<http://www.cancer.org/acs/groups/content/@editorial/documents/document/acspc-044552.pdf>

- **Estimates: 226,830 new cases, 158,820 deaths**
- **Leading cause of cancer deaths (> breast+prostate+colon)**
  - Death rate per 100,000 decreasing (90.56 in 1990 vs. 67.45 in 2006), incidence finally decreasing in women
- **16% five year survival**
  - 5% in 1950's, 13% in 1970's
  - 28% of all male cancer deaths, 26% of all female cancer deaths



# Tobacco use and lung cancer

## Radiographic Evidence Linking Tobacco Use to Lung Cancer

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*-McMullen, DM & Cohen GA, NEJM 354:397, 2006*

# Risk Factors

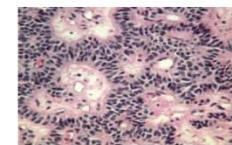
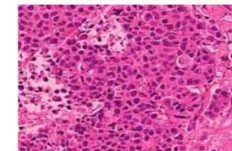
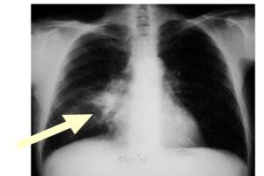
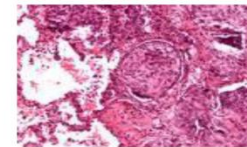
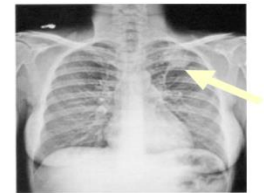
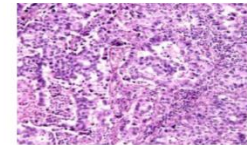
- Tobacco, tobacco, tobacco (85% lung ca.)
  - Including passive smoking
  - Prior aerodigestive malignancy
  - COPD
- Other exposures
  - Asbestos, radon, polycyclic aromatic hydrocarbons, chromium, nickel, inorganic arsenic – mining, ship building, oil refining
- Genetic predisposition
  - Familial lung cancer – 6q23-25 (Am J Hum Gen, 9/04)
  - 15q24-25.1 – nicotinic acetylcholine receptor subunits CHRNA3 and CHRNA5, OR=1.3, attributable risk ~14%
    - Amos et al., Nat Gen 2008;40:616, Hung et al. Nature 2008;452;633, Thorgeirsson et al. Nature 2008;452:638
  - CH3NA3/5 is also susceptibility locus for COPD
    - Pillai et al. PLoS Genet 2009;5:1

# Pathology: NSCLC

## Pathology: Non-small Cell Lung Cancer

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- **Adenocarcinoma, inc bronchoalveolar**  
– 40%
- **Squamous cell carcinoma**  
– 20%
- **Large cell carcinoma**  
– 15%
- **Others (carcinoid, etc.)**

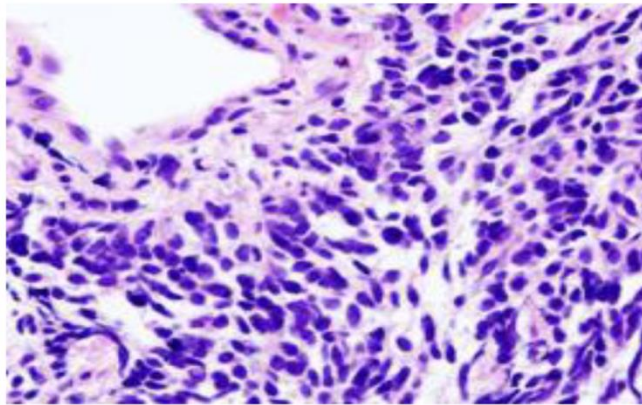


# Pathology: Small Cell Lung Cancer

## Pathology: Small Cell Lung Cancer

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lung cancer - 20%



# Treatment Strategies for Lung Cancer

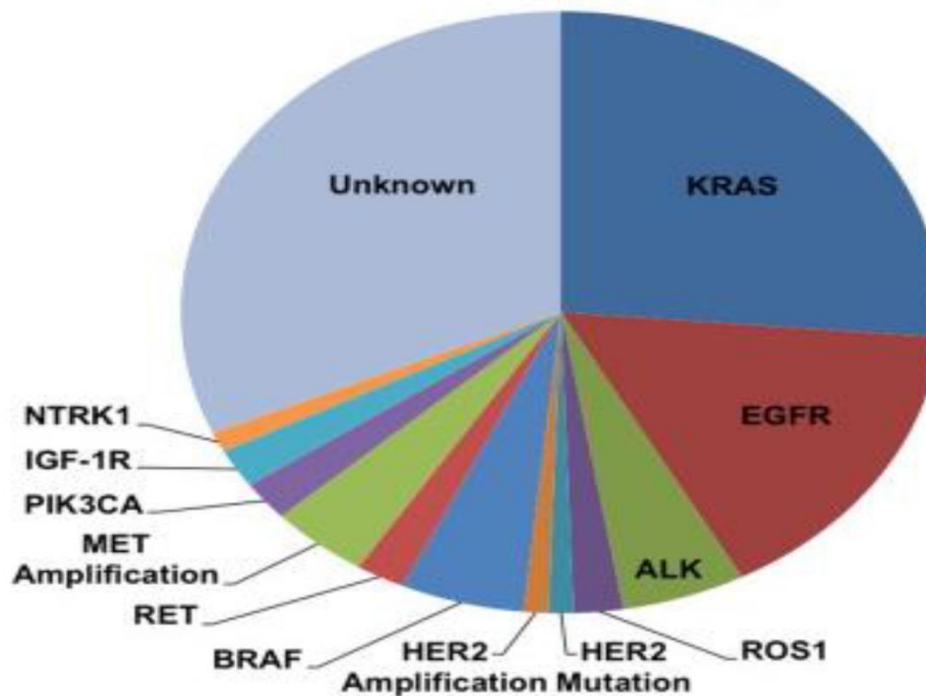
- **Treatment based on stage:**
  - **Early stage (Stage I) – surgery**
  - **Early stage (Stage II, IIIA resected)-surgery + adjuvant chemo**
  - **Regional spread (IIIA/IIIB) – combined modality (chemoradiation; +/- surgery for IIIA)**
  - **Metastatic (IIIB “wet”/IV)– chemotherapy, radiation as needed for local control, occasional resection of isolated metastases**
- **Small cell lung cancer: chemotherapy (+thoracic radiation for limited stage; prophylactic cranial radiation to prevent brain mets)**



# Personalizing Therapy for NSCLC

## Personalizing Therapy for NSCLC Genetic Abnormalities in Lung Adenocarcinoma

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# EGFR as a Target for NSCLC

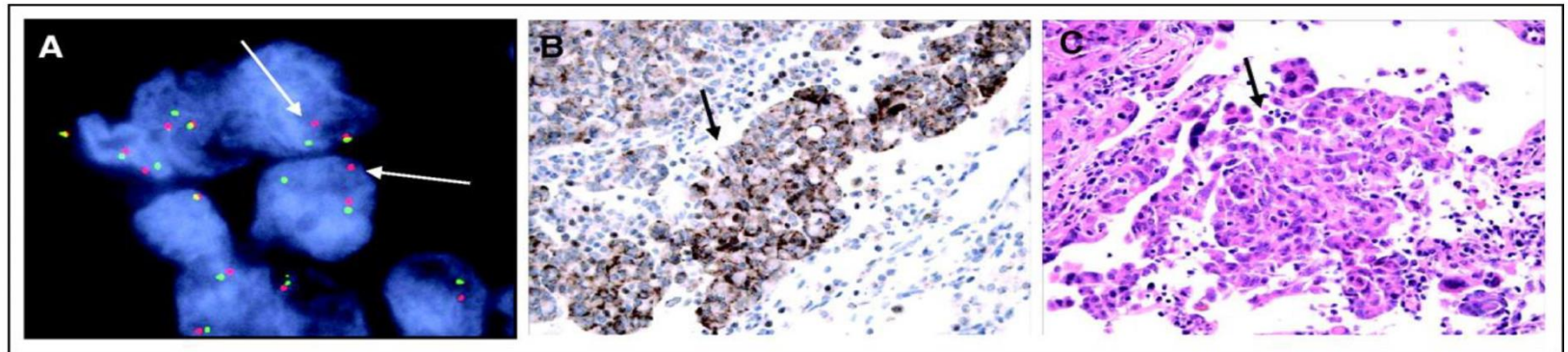
## Standard of Care in 2015

- Epidermal growth factor receptor (EGFR) inhibition in advanced NSCLC
  - 10% response rate in advanced disease, 30% prolonged stabilization
  - Survival advantage (erlotinib)
    - Shepherd, F. A. et al. N Engl J Med 2005;353:123-132
  - Mutually exclusive with K-ras
  - Most benefit for non-smoking related NSCLC, with EGFR mutations (females, adenocarcinomas, Asian)
    - Lynch et al., NEJM 350:2129, 2004; Paez et al., Science 304:1497, 2004; Pao et al., PNAS 101:13306, 2004
  - Mechanisms of secondary resistance to EGFR inhibitors being identified (T790M mutation-50%, Met amplification-10-20%, others), new drugs
    - Pao et al., PLoS Med 2:e17, 2005; Engelman et al., Science 316:1039, 2007
- Erlotinib approved as single agent for 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> line treatment of NSCLC
  - Also for maintenance after 1<sup>st</sup> line non-progression after chemo
  - Afatinib, gefitinib also approved

# EML4-ALK

## EML4-ALK Fusion Gene as a Target for NSCLC

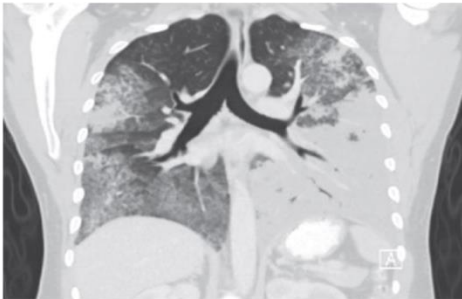
- Identified in 2007
- ~5% NSCLC, mainly never smokers
- Striking response to inhibitor – crizotinib- 57% RR, 33% stable disease (FDA approved)
  - Kwak EL et al. NEJM 2010;363:1693
- 2<sup>nd</sup> line agent approved (ceritinib), 56% RR
  - Shaw AT, et al. NEJM 2014;370:1189
- Multiple mechanisms of resistance



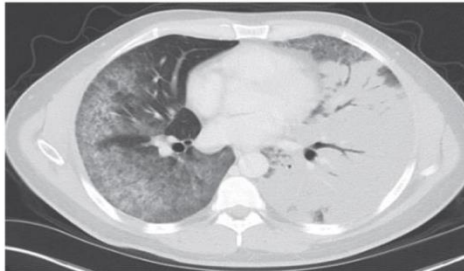
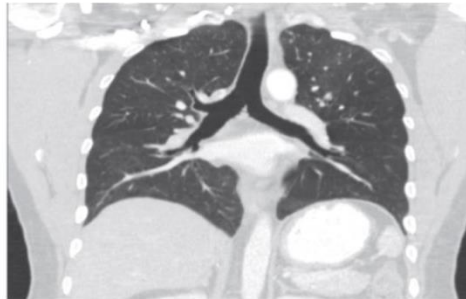
# ROS1 Rearrangements

## ROS1 Rearrangements as a Target

pre-Rx



post-Rx



- Tyrosine kinase (insulin receptor family)
- 1.7% of NSLC have rearrangements
- Multiple different partners
- crizotinib – RR=72%, median duration 17.6 mths
  - Shaw AT et al., NEJM 2014;371:1963

# Other Targetable Mutations in Adenocarcinoma

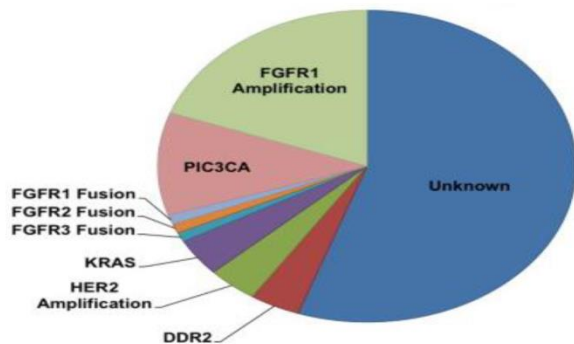
- **HER2/neu**
  - Mutations in kinase domain in 4%, amplification (FISH) in 2-5% NSCLC (Hunter et al., Nature 2004;30:431; Heinmoller P et al. Clin Cancer Res 2003;9:5283)
  - Clinical trials for HER2 overexpression (IHC) negative, but 16 pts. with exon 20 mutation treated with HER2-based Rx (mainly with chemo) RR=50%
- **BRAF**
  - 1-5% NSCLC, V600E mutation→dabrafenib RR=54%
- **RET**
  - Gene fusions 1-2% NSCLC, multiple partners, case reports of responses to cabozantinib and vandetanib
- **Other low frequency mutations are also continuing to be identified**

# Personalizing Therapy for NSCLC

## Personalizing Therapy for NSCLC Genetic Abnormalities in Lung Squamous Cell Ca.

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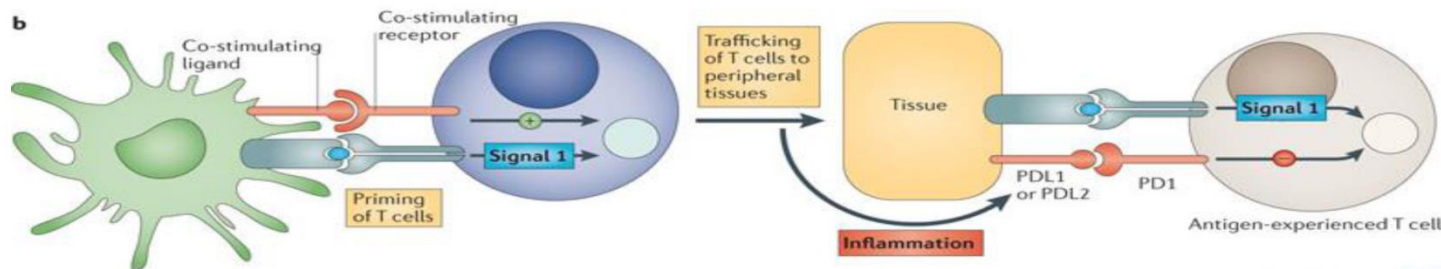
- FGFR1 amplification ~22% of squamous cell carcinomas (smokers), not in adenocarcinomas
  - experimental FGFR inhibitors in development
    - Weiss J et al., Sci Transl Med 2010;62:62ra93
- DDR2 (discoidin domain receptor 2 tyrosine kinase) mutations in ~4% squamous cell carcinomas
  - Sensitive in vitro to dasatinib
    - Hammerman PS et al., Cancer Discovery 2011;1:OF77



# New Approaches-Immunotherapy

## New Approaches - Immunotherapy

- PD-1
  - T-cell co-inhibitory receptor, regulates T-cell activation
  - Main role: to limit activity of T cells in peripheral tissues during inflammatory response to infection and to limit autoimmunity
  - ligands PD-L1 (frequently expressed on tumors) and PD-L2
  - Blockade of PD-L1/PD-1 interaction potentiates immune response (to tumor)

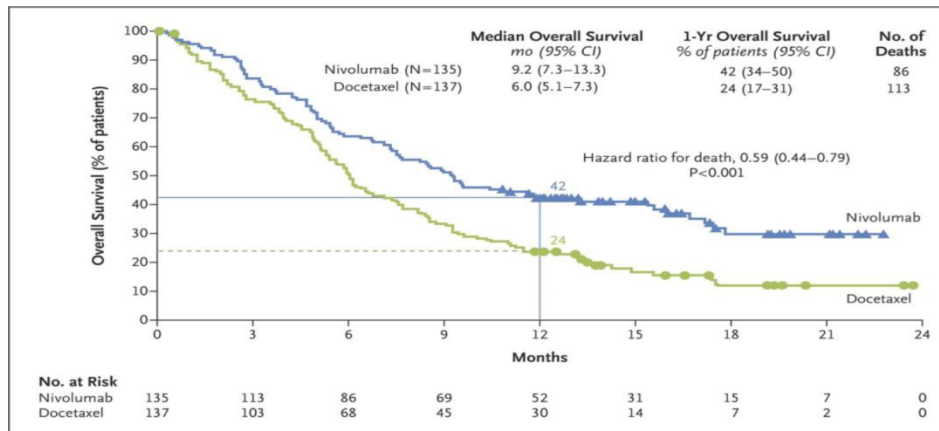


Nature Reviews | Cancer

# Immunotherapy

## New Approaches - Immunotherapy

- **Anti-PD-1 antibodies approved for 2<sup>nd</sup> line NSCLC; nivolumab and pembrolizumab (PD-L1+)**
  - ~20% response rate (vs. 10% docetaxel)
  - ~3 month improved overall survival nivolumab c/w docetaxel
  - Long term responses (median duration 12.5 mths with pembro)



*Squamous, nivolumab:  
-Brahmer J et al. N Engl J Med  
2015;373:123-135.*

*Non-squamous, nivolumab: Borghaei H et al. N Engl J Med 2015;373:1627-1639  
Any NSCLC, pembrolizumab: Garon EB et al. N Engl J Med 2015;372:2018-2028*



# **Approaches to reducing cancer morbidity and mortality**

- **Prevention (primary, secondary, tertiary)**
- **Early detection**
- **Better therapeutics**

# Lung Carcinogenesis

## The Continuum of Lung Carcinogenesis Opportunities for Intervention



Normal → Hyper/Metaplasia → Dysplasia → **Early-Late Cancer**

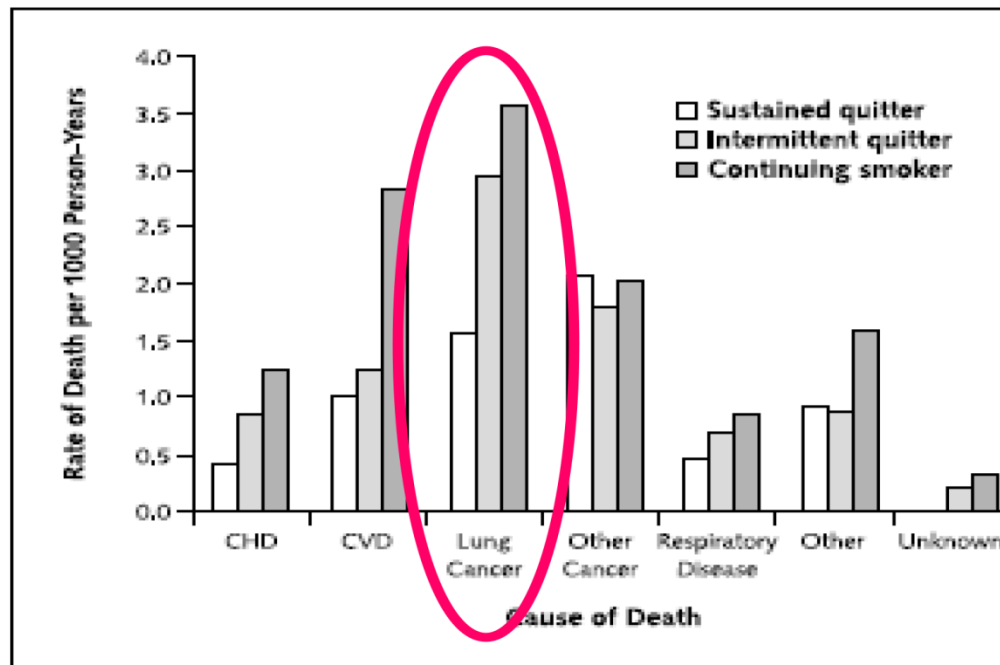
**Prevention**

**Early Detection**

**Treatment**

# Smoking Cessation and Lung Cancer

## Effect of Smoking Cessation on Lung Cancer Death Lung Health Study, 14.5 yr F/U



# **Cancer Chemoprevention**

**The use of natural or synthetic agents to suppress or reverse carcinogenesis**

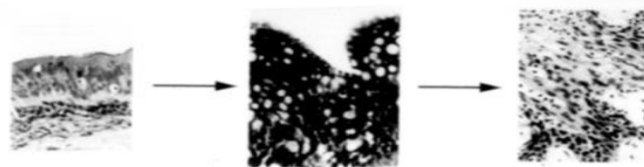
- Regress existing neoplastic lesions (treat intraepithelial neoplasia)**
- Prevent development of new neoplastic lesions (preneoplastic and cancer)**
- Suppress recurrence of neoplastic lesions**

# Lung Cancer Prevention

## Rationale for Lung Cancer Prevention

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- **Metastatic cancer is rarely curable**
  - US lung cancer 5 yr survival is ~15% (5% 1950's, 13% 1970's)
- **Cancer is preventable**
  - P1, STAR breast cancer prevention trials with tamoxifen and raloxifene
    - *Fisher B et al., JNCI 1998;190:1371; Vogel, VG et al., JAMA 2006;295:2727*
  - Multiple animal studies with multiple agents
- **Long preclinical phase with increasing histologic and molecular abnormalities, identifiable populations at risk**



# When is the best time to intervene during carcinogenesis?

- **Efficacy of intervention**
  - Early stage cancer is more curable than late
  - Are precursor lesions more curable than invasive cancer?
  - Can carcinogen-induced DNA damage be prevented?
  - Multiple pathways of carcinogenesis
- **Toxicity of intervention**
  - High toxicity acceptable short-term, in setting of cancer
- **Target population – size and ability to identify**
  - Many at risk (smokers), relatively few get cancer/yr
  - Inability to identify non-smokers at risk
- **Cost (resources, psychological impact, etc.)**

# Minimal Requirements for Preventive Strategies

- **Benefit**
  - **Efficacy in preventing cancer and associated morbidity/mortality**
- **Risk**
  - **Lack of adverse side effects that increase morbidity/mortality from other diseases, short- and long-term (major side effects)**
  - **Tolerability of intervention (minor side effects affecting compliance)**

# **Efficacy: How Do We Identify New Agents?**

- **Knowledge of mechanism**
  - **Example: HPV vaccine and cervical cancer**
  - **Need: understanding molecular pathogenesis**
- **Preclinical (in vitro and animal models)**
  - **Example: NSAID treated carcinogenesis and transgenic models**
  - **Need: models reflective of complexity of human disease**
- **Observational epidemiology (cohort and case-control studies)**
  - **Example: NSAIDs and colon cancer incidence/mortality**
- **Secondary endpoints from clinical trials (including other diseases)**
  - **Example: Tamoxifen/raloxifene and breast cancer**

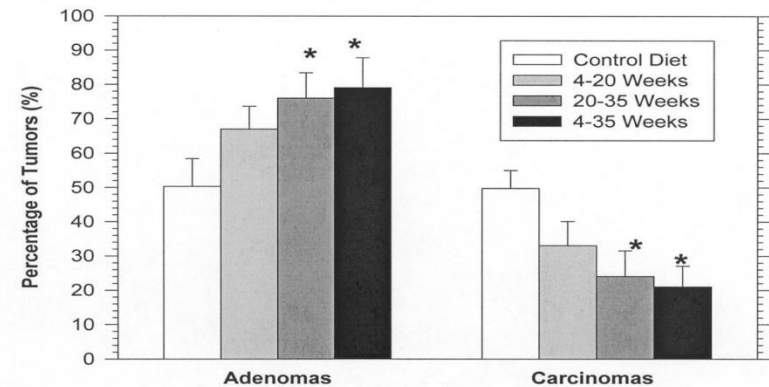
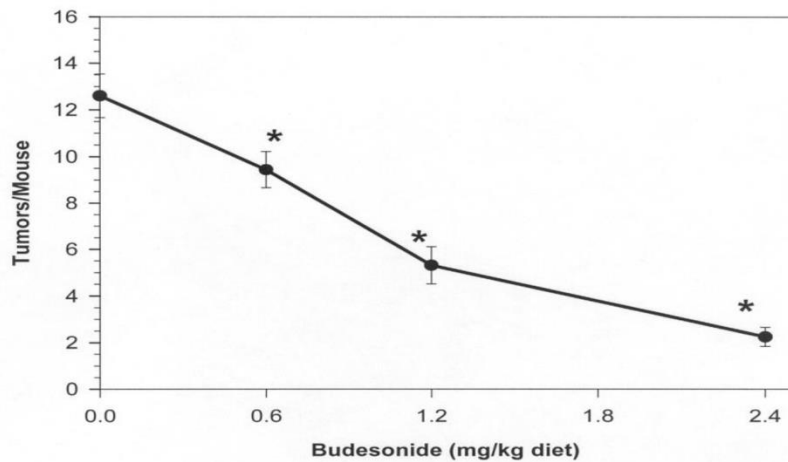


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# Budesonide and Lung Tumorigenesis

## Effect of Budesonide on Mouse Lung Tumorigenesis



**-82% decrease in tumors**

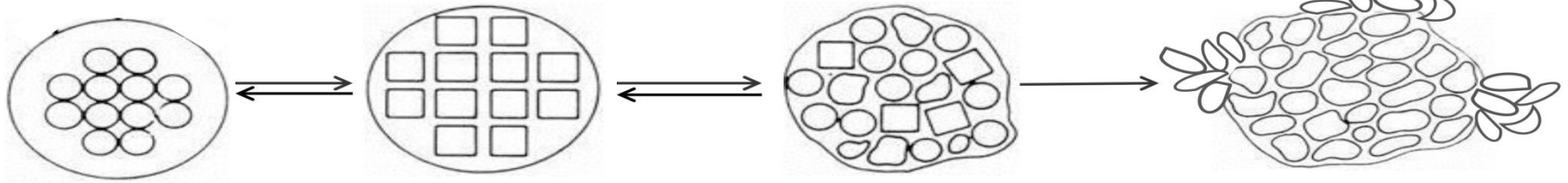
**-Shift from adenoma to carcinoma**

# Bronchial Dysplasia

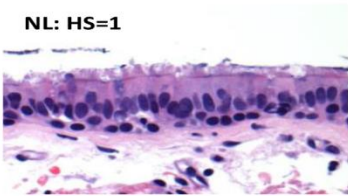
## Premalignant Squamous Lesions

### Bronchial Dysplasia – precursor and risk marker

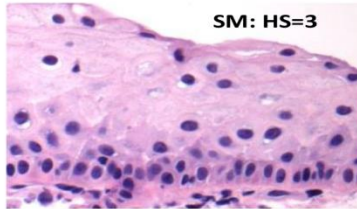
Invasive SCC variants: Keratinizing, Non-keratinizing, Basaloid, Papillary



NL: HS=1



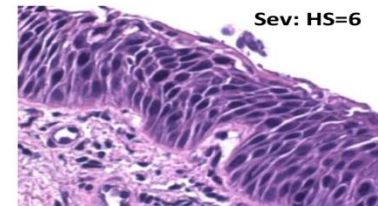
SM: HS=3



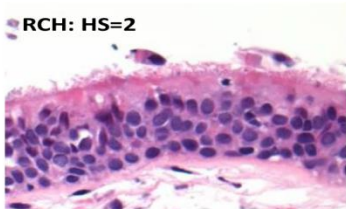
Mod: HS=5



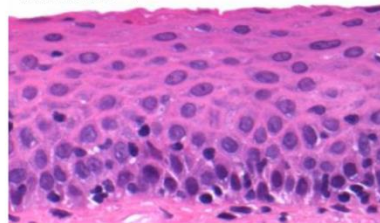
Sev: HS=6



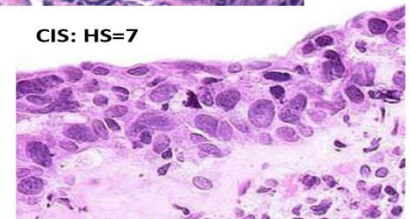
RCH: HS=2



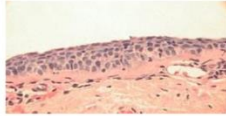
Mild: HS=4



CIS: HS=7



# Natural History of bronchial lesions



## Natural history of pre-invasive bronchial lesions

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- **164 pts. with low or high-grade lesions**
  - **33.5% developed invasive cancer, median 16.5 mths**
  - **41% cancers developed from abnormal site, 59% from other sites (central or peripheral)**
  - **High grade lesions assoc with cancer; COPD and prior hx lung ca assoc with OS**
- **Bronchial dysplasia both precursor and risk marker for abnormal field**

# Phase IIb Trial

## DCP Phase IIb Trial of Inhaled Budesonide in Bronchial Dysplasia

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**112 smokers with dysplasia**



**Bronch,  
Helical CT**

**# Screened (sputum): 1040  
Cancers detected: 13**

**Budesonide vs. Placebo x 6mths**

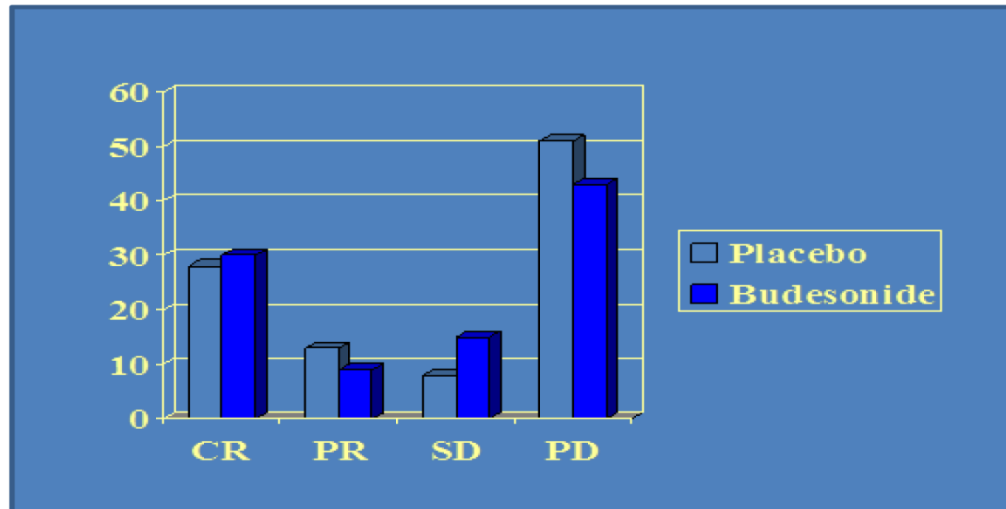


**Bronch,  
Spiral CT)**

**1° Endpoint: bronchial dysplasia (#sites/grade)  
2° Endpoints: multiple biomarkers**

# Inhaled Budesonide

## Phase IIb Trial of Inhaled Budesonide in Bronchial Dysplasia



- **Bronchial dysplasia – no effect of 6 mth Rx**
- **CT-detected lung nodules - 27% vs. 12% resolved (p=0.024)**

# Budesonide Trial

## Phase IIb Budesonide Trial in CT-Detected Lung Nodules

202 participants with persistent LD-CT-detected peripheral nodules

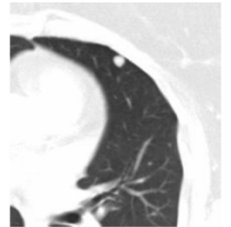


Randomize

inhaled budesonide vs. placebo x 1 year



repeat LD-CT

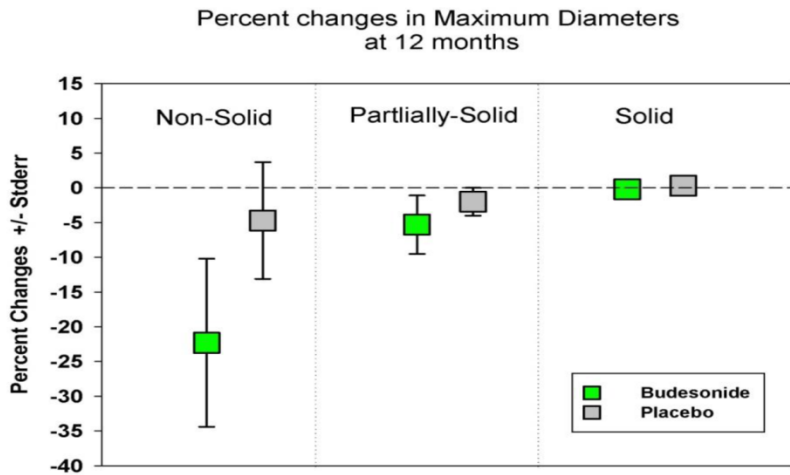


**Primary endpoint: shrinkage of lung nodules**

# Chemoprevention Trial

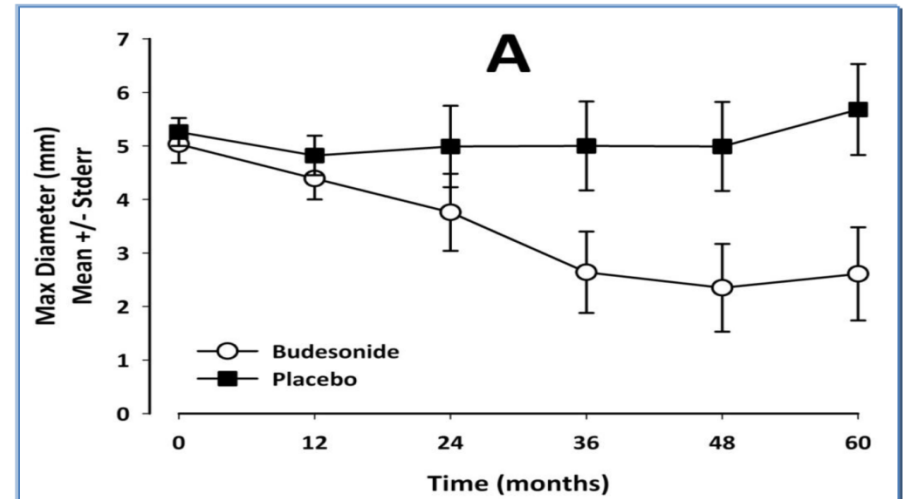
## Phase IIb Budesonide Chemoprevention Trial Lesion Specific Analysis

12 months



5-yr f/u, non-solid

p=.029



***-Overall response negative, but trend toward regression in non-solid lesions (putative precursors of adenocarcinoma)***

Veronesi et al., *Cancer Prev Res* 2011;4:34-42

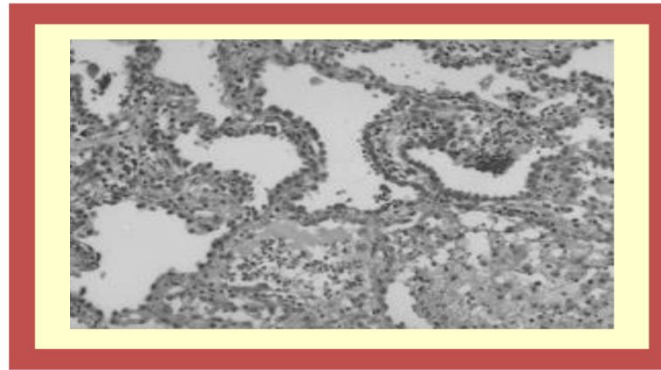
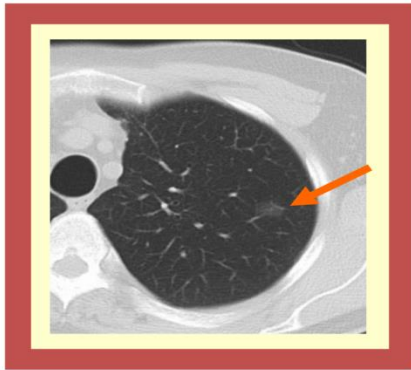
Veronesi et al., *Ann Oncol* 2015;26:1025-30



# Atypical Adenomatous Hyperplasia

## Adenocarcinoma Precursor: Atypical Adenomatous Hyperplasia

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- **Natural history not well understood**
- **Localized ground glass opacities on CT:**
  - AAH 25%; bronchoalveolar ca 50%; invasive adenoca 10%; fibrosis 15% (Nakajima et al., J Comput Assist Tomogr 2002;26:323)
  - AAH 63%; bronchoalveolar ca 34%; scar 3% (Ohtsuka et al., Eur J Cardio-Thor Surg 2006;30:160)

# Non-solid nodules

## Non-solid nodules – Natural History

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- **67 patients with 120 nodules ( $\leq 3\text{cm}$ , GGO  $\geq 50\%$ )**
  - **34 (28%) lesions grew by  $\geq 2\text{mm}$ , median f/u 4.2 yrs**
  - **OR=6.51 (95%CI 2.08-22.82;  $p < 0.01$ ) for smoking hx**

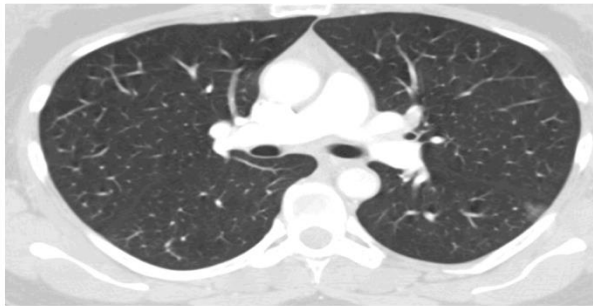
*Kobayashi Y et al., Lung Cancer 2014;83:61-66*

# CT-detected Lung Nodule

## Evolution of CT-detected Lung Nodule

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4-1-04



7-14-04



8-19-10



7-25-11



**Dx:**  
**Invasive adenocarcinoma (stage I)**  
**Adjacent AAH**

# Non-calcified nodules

## Non-calcified nodules (NCN) Risk of Lung Cancer in the NLST

	0-23 Months	24-59 Months	60-84 Months
	HR (95% CI)	HR (95% CI)	HR (95% CI)
≥1 10+ mm NCN (vs. only 4-9 mm NCNs)	12.8 (9.5-17.2)	4.7 (2.9-7.5)	N.S.
≥1 NCN w/ Spiculated or Poorly Defined Margins (vs. only NCNs with smooth margins)	4.1 (3.0-5.5)	2.3 (1.5-3.5)	N.S.
≥1 Persistent NCN (vs. non-persistent NCNs)	N/A	4.8 (2.8-8.3)	N.S.
≥1 NCN w/ Ground Glass Attenuation (vs. soft tissue attenuation)	0.3 (0.2-0.4)	N.S.	3.1 (1.4-6.6)

### Interpretation:

Increased long-term risk of ground glass nodules suggests some are lung cancer precursors

*Pinsky et al. Cancer Prev Res 2014*

# Aspirin and Mortality

## Effect of Aspirin on Lung Cancer Mortality

-Rothwell et al., Lancet 2011;377:31

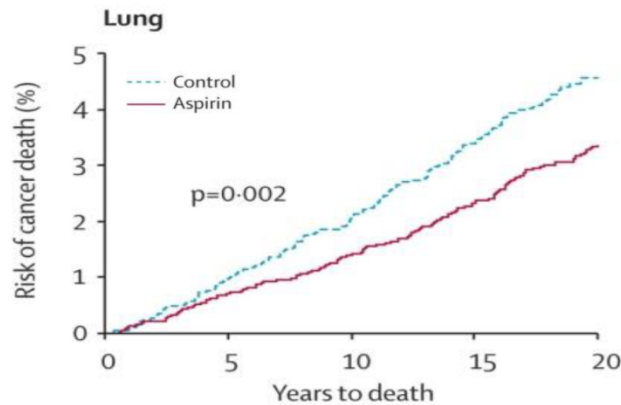
-individual patient data from trials of ASA vs. none

-lung:

f/u	0-10 yrs	0-20 yrs
HR	0.68	0.71
	(0.50-0.92, p=0.01)	(0.58-0.89, p=0.002)

-adenocarcinoma only

-benefit only after 5 yrs



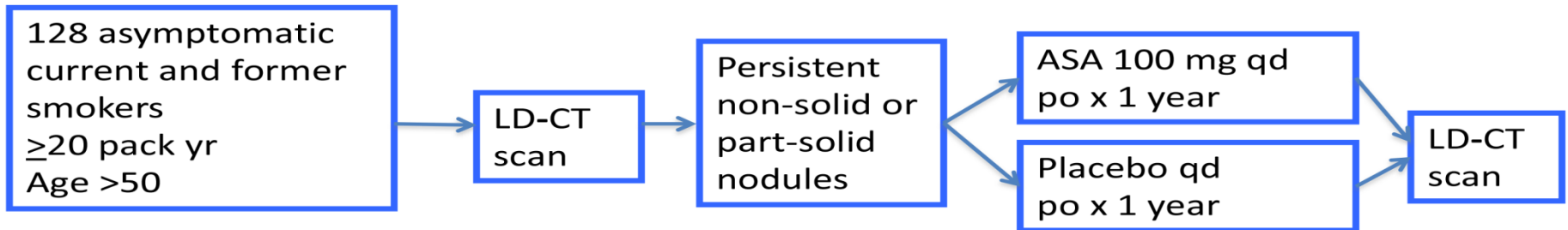
Number at risk

Aspirin	6258	5816	5243	4485	2634
Control	4244	3948	3545	3006	1493

# Phase II Trial

**A Randomized Phase II Trial of Low Dose Aspirin versus Placebo in High-Risk Individuals with CT Screen Detected Subsolid Lung Nodules**  
**PIs: Giulia Veronesi, MD and Bernardo Bonanni, MD; IEO**

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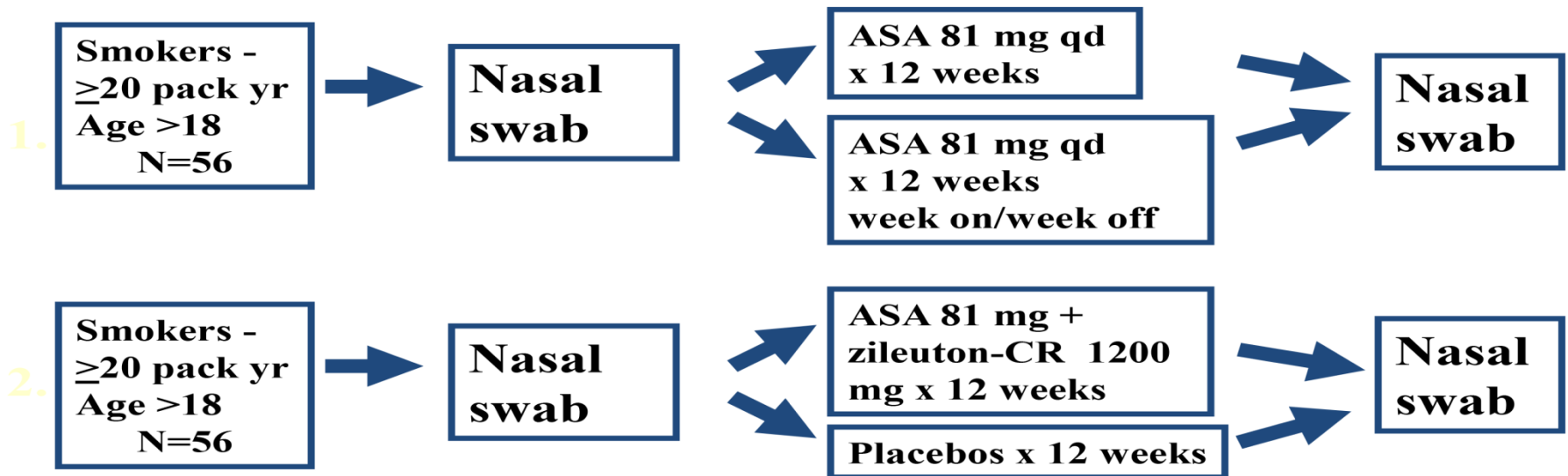
1° Endpoint: #/Size semisolid lung nodules

2° Endpoints: COX/LOX urinary metabolites (hs-CRP, PGEM, LTE4), miRNA signature, nodule-based endpoints

Accrual as of October 15, 2015: 47 participants

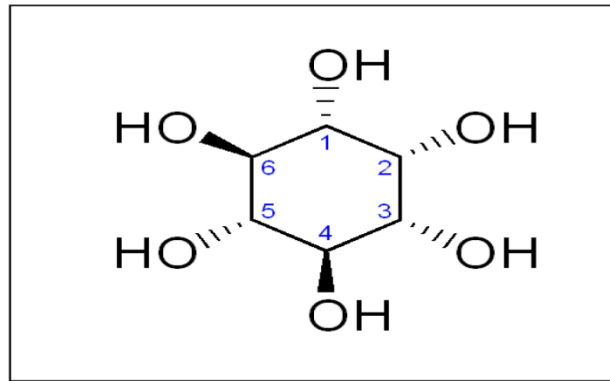
# Chemoprevention Trial

## Biomarker Aspirin Chemoprevention Trials Linda Garland, University of Arizona



**1° Endpoint: smoking gene expression (nasal epithelium)**  
**2° Endpoint: PI3K gene expression, lung cancer gene expression**  
**COX/LOX urinary metabolites (PGEM, LTE4)**

# *myo*-Inositol



- **Glucose isomer**
- **Source of several second messengers & signaling molecules**
- **Dietary sources (grains, beans, fruits, rice)**
- **Studied in psychiatric conditions (+/-), diabetic neuropathy(+/-), polycystic ovary syndrome (+)**



# Rationale for *myo*-Inositol in Lung Cancer Prevention

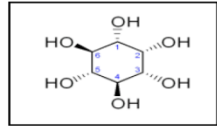
- **Efficacy**

- Multiple animal studies show inhibition of carcinogen induced tumors in mice (40-50%)
  - Estensen and Wattenberg, *Carcinogenesis* 1993;14:1975
  - Hecht et al., *Carcinogenesis* 2002;23:1455
- Inhibits carcinogenesis in mainstream/sidestream smoke-exposed A/J mice by 53%
  - Witschi H et al., *Carcinogenesis* 1999;20:1375
- Combination with budesonide ↑↑ efficacy up to 80%
  - Estensen and Wattenberg, *Carcinogenesis* 1993;14:1975
  - Witschi et al. *Carcinogenesis* 1999;20:1375
  - Wattenberg et al. *Carcinogenesis* 2000;21:179

- **Safety**

- Used in multiple short term trials for psychiatric and diabetic neuropathy indications – no toxicity reported
- Generally Regarded as Safe (GRAS) by US FDA terminology

# Phase I Study of myo-Inositol



## Phase I Study of *myo*-Inositol in Bronchial Dysplasia

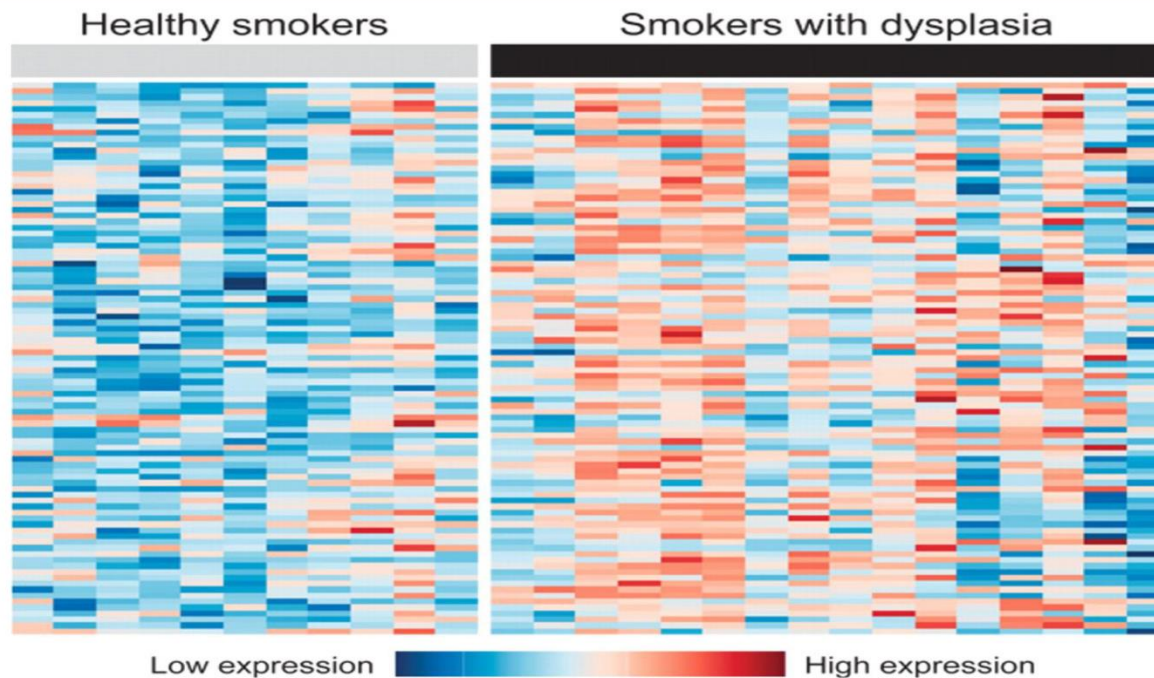
- Inhibits B[a]P carcinogenesis in mice (53%); combination with budesonide ↑↑
- Phase I study (26 participants)
  - tolerable 18 g/d
  - **91% vs. 48% regression dysplasia, P=0.014 (10 participants)**

Table 5. Changes in pathologic grades of bronchial biopsy samples at baseline and after 3 months of *myo*-inositol (18 g): Lesion-specific analysis

Pathologic grades of bronchial biopsies at baseline	Status after 3 months of treatment			
	N	Stable	Regression*	Progression <sup>†</sup>
Placebo group (from ref. 18)				
Normal/hyperplasia/metaplasia	256	219	0	37
Mild dysplasia	134	72	62	0
Moderate/severe dysplasia	13	5	8	0
<i>myo</i> -Inositol group				
Normal/hyperplasia/metaplasia	38	36	0	2
Mild dysplasia	10	1	9	0
Moderate/severe dysplasia	1	0	1	0

# PI3K pathway genes

Increased Expression of Genes Induced by PI3K Pathway Activation in the Airway of Smokers with Dysplasia



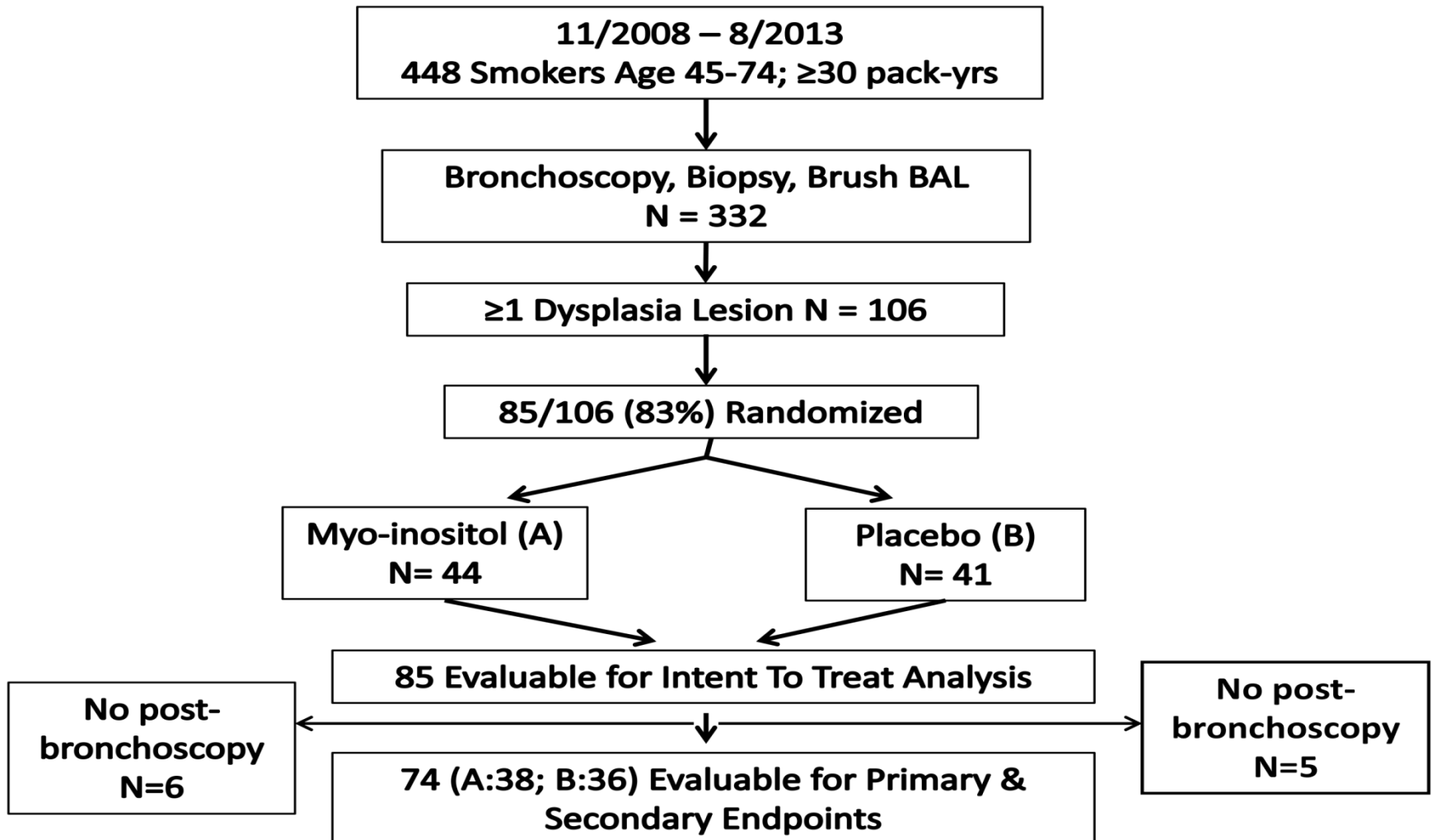
**-PI3K pathway is activated in smokers with dysplasia in airway  $p < 0.001$**   
**-Myo-inositol inhibited PI3K activation in normal bronchial airways in smokers with regression of dysplasia ( $p = 0.04$ )**

# Implications – Molecular Selection Criteria &/or Endpoints

- **Does PI3K activation truly identify smokers at risk for cancer?**
  - Easier to get normal brushings than to identify dysplasia (sampling bias); do not remove biomarker with procedure
  - Potential to identify “the right” cohort
- **New potential clinical trial model – pathway analysis pre- and post-treatment, smaller # participants, shorter interventions**
  - Identify mechanisms of interventions
  - Needs validation!

# Phase IIB myo-Inositol Trial

## Phase IIB *myo*-Inositol Trial Flow Diagram



# Lung Carcinogenesis

## The Continuum of Lung Carcinogenesis Opportunities for Intervention



Normal → Hyper/Metaplasia → Dysplasia → **Early-Late Cancer**

**Prevention**

**Early Detection**

**Treatment**

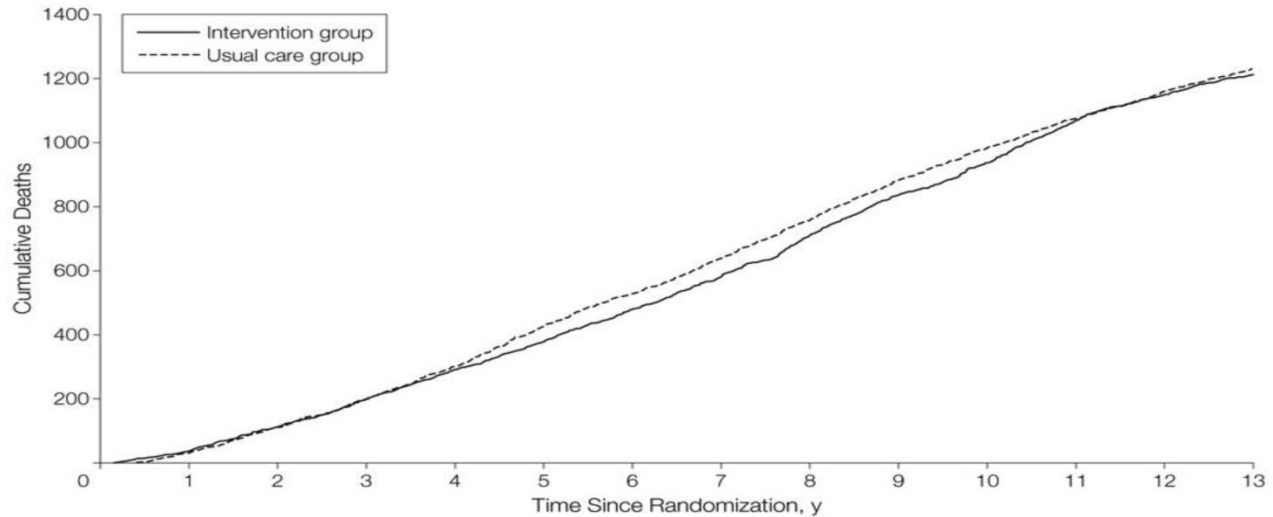
# Issues in Lung Cancer Screening

- **Lead-time bias=earlier diagnosis but no postponement of death (survival appears longer)**
- **Length bias=diagnosis of more indolent disease with longer preclinical phase (better prognosis, better outcome)**
- **Overdiagnosis=identification of clinically unimportant lesions that would not be diagnosed otherwise**
- **Morbidity/mortality/cost of screening and subsequent**

# PLCO Trial

## PLCO CXR Randomized Trial - Mortality

154,901 participants, PA CXR vs. usual care x 4 screens, 13 yr f/u



Intervention group	
Cumulative deaths	36 113 196 292 378 480 582 711 838 937 1070 1150 1213
Cumulative person-years	77 268 154 053 230 270 305 833 380 691 454 773 527 937 600 004 670 274 735 098 789 540 832 441 864 227
Usual care group	
Cumulative deaths	30 111 198 301 426 527 639 761 884 987 1076 1162 1230
Cumulative person-years	77 286 154 116 230 348 305 902 380 725 454 719 527 804 599 790 669 955 734 523 788 854 831 678 863 330

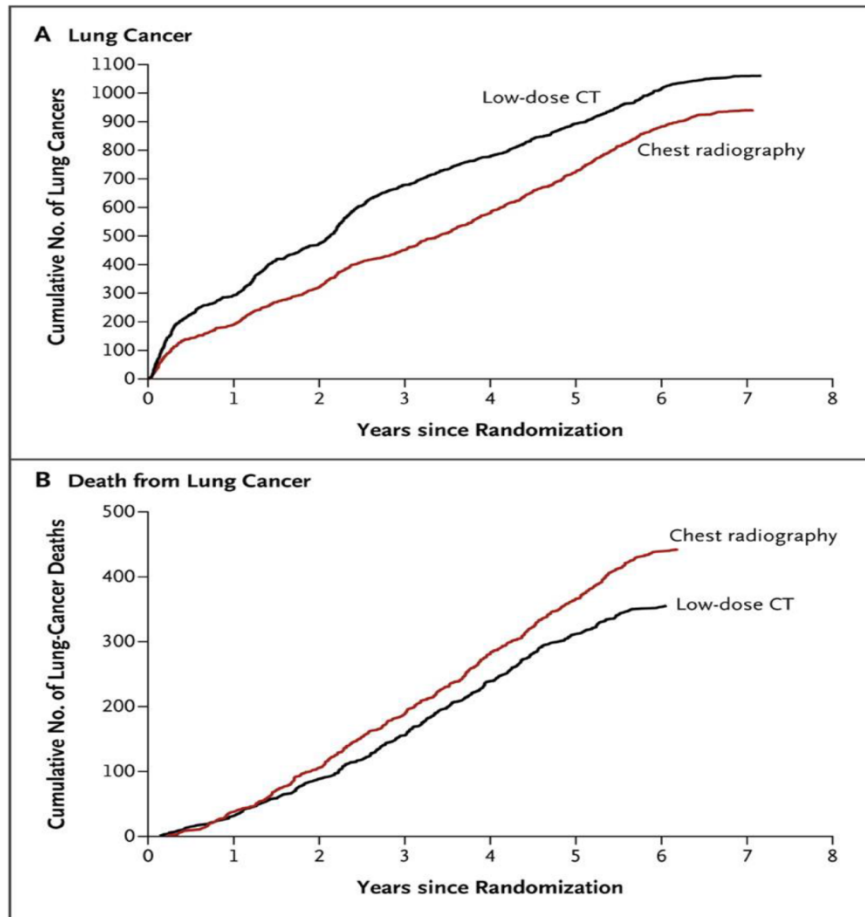


# NLST (National Lung Screening Trial)

- **NLST design**
  - 53,454 smokers (current and former)
  - 30 pack-yr smoking hx; quit  $\leq 15$  yrs ago
  - Age 55-74
  - Helical CT vs. chest X-ray (prevalence, then x2)
- **NLST results**
  - CT - 24.2% 'positive' tests, 354 lung cancer deaths
  - CXR – 6.9% 'positive' tests, 442 lung cancer deaths
  - 20.0% reduction in lung cancer mortality
  - 6.7% reduction in all cause mortality

# Lung Cancer and Deaths

## Cumulative Lung Cancers and Deaths from Lung Cancer

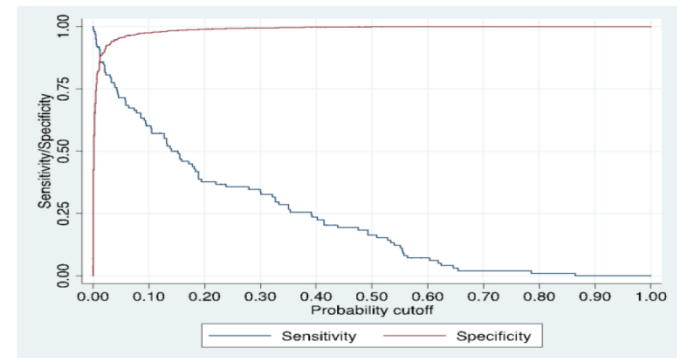


*NLST Research Team N Engl J Med 2011;365:395-409*

# Lung Cancer Risk

## Lung Cancer Risk Prediction Model – 1<sup>st</sup> Screening CT

- **Risk of lung cancer in nodules from baseline screening CT**
  - Age, sex, family history, emphysema
  - Nodule size, type, location, count
  - AUC >0.90
- **Ability to identify highest risk:**
  - For subsequent screening
  - Chemoprevention (ph III)
- [www.brocku.ca/cancerpredictionresearch](http://www.brocku.ca/cancerpredictionresearch)



# Summary

- **Tremendous progress has been made in understanding lung carcinogenesis**
  - **Precision medicine applicable to significant (but small) subset of advanced stage patients, increased survival**
  - **Early days of immunotherapy – prolonged survival in small subset of patients**
  - **Early detection with helical CT –decreased lung cancer mortality**
  - **New targets and tools available for chemoprevention research**

**“An ounce of prevention  
is worth a pound of cure”  
-Benjamin Franklin**