## Small cell lung cancer

Haobin Chen, M.D., Ph.D. Assistant Clinical Investigator TGIB, NCI

### Outline

- Introduction
- Genetic abnormalities of small cell lung cancer
- SCLC as a resistance mechanism to EGFR TKI in lung adenocarcinoma
- Examples of translational medicine: Story of Rova-T
- Examples of translational medicine: Immune checkpoint inhibitors
- Other promising agents under clinical development
- Extrapulmonary small cell carcinoma

Introduction



- Small-cell lung cancer (SCLC) accounts for 10% to 15% of all lung cancer cases, and is closely linked to the intensity and duration of tobacco smoking.
- When compared with NSCLC, SCLC tends to disseminate earlier in the course of its natural history and displays a more aggressive clinical behavior.
- SCLC is also commonly associated with paraneoplastic endocrinopathies, such as Cushing syndrome and Lambert-Eaton myasthenic syndrome.

### SCLC is recalcitrant

#### SCLC is a recalcitrant cancer

• Recalcitrant cancer:	SCLC:
<ul> <li>Have a 5-year relative survival rate of less than 20%</li> </ul>	<7%
<ul> <li>Estimated to cause the death of at least 30,000 individuals in the United States per year.</li> </ul>	~30,000 deaths/yr
<ul> <li>NCI identified four major obstacles to progress in 2014:</li> </ul>	
<ul> <li>Continuing risk of developing the disease that remains for decades after smoking cessation.</li> </ul>	
<ul> <li>Most patients have widely metastatic tumors at the time of diagnosis.</li> </ul>	
<ul> <li>Rapid development of resistance to chemotherapy in more than 95%</li> </ul>	

- Rapid development of resistance to chemotherapy in more than 95% of SCLC patients.
- Lack of tumor tissue for clinical, molecular, and cell biological studies.

### SCLC morphology

#### Morphology of SCLC

Small cell lung cancer (SCLC) is also known as oat cell carcinoma. Its morphology resembles oat grains and appears as small oval cells with scanty cytoplasm.



Image obtained from Pathpedia.com

#### Systemic therapy of SCLC

- It was learned quite early in the 1970s that combination therapy produces superior survival compared with single-agent treatment based on several randomized trials.
- First-line therapy: platinum + etoposide
- Second-line therapy: Topotecan

### Staging of SCLC: 2-stage system

- Limited disease-SCLC (30-40%)
  - Definition: Tumor and nodes confined to one hemithorax and able to be encompassed within a single radiotherapy port
  - Treatment: Combination chemotherapy with thoracic radiation
  - Median Overall survival: 15-20 months
- Extensive disease-SCLC:
  - Definition: All else.
  - Treatment: Combination chemotherapy
  - Median Overall survival: 8-13 months

### Prophylactic cranial irradiation

#### PCI (prophylactic cranial irradiation)

- 1. SCLC commonly metastasizes to brain.
- In 1990s, meta-analysis shows that PCI improves overall survival in SCLC patients with complete remission from initial therapy.
- In 2007, PCI was proved to decrease brain metastasis, and improve PFS and OS in extensive-disease SCLC patients with a response after initial chemotherapy.



#### PCI and brain mets

#### PCI may not be necessary in patients who has no brain mets

In a recent Japanese trial, PCI did not result in longer overall survival compared with observation in patients with extensive-disease small-cell lung cancer, who had any response to platinum-based doublet chemotherapy and no brain metastases on MRI.



## Genetic abnormalities of SCLC

### Chromosome 3p deletion

#### Genetic abnormalities of SCLC– Deletion of 3p21



 Chromosomal region 3p21 was found to be consistently deleted not only in SCLC (almost 100%), but in all major types of lung cancer (more than 90%).

• Chromosome 3p abnormalities appear early in the pathogenesis of lung cancer and are found as clonal lesions in the smoking damaged respiratory epithelium including histologically normal epithelium as well as in epithelium showing histologic changes of preneoplasia.

Kok et al. Nature 1987, 330, 578 - 581

#### **RB** loss

#### Genetic abnormalities of SCLC– Loss of Rb gene



Harbour W et al. Science. 1988, 241:353-7.

#### **TP53** inactivation

#### Genetic abnormalities of SCLC – Inactivation of TP53 gene

**Table 1.** Abnormalities of p53 in lung cancer lines. Terms and symbols for mRNA levels are as follows: +, easily detectable p53 transcripts comparable to levels found in normal lung; reduced or trace, greatly reduced amount of transcript compared to normal lung; undetectable, undetectable by both Northern blot analysis and the RNase protection assay. Full designation of the cell lines includes the prefix "NCI". All but H60, H69, H82, H187, H345, H378, and H510 were established from patients before treatment.

Type of mutations	mRNA level	Tumor cell type	Cell line
Homozygous deletion Homozygous deletion with truncated mRNA	Undetectable Reduced	Bronchioloalveolar Extrapulmonary small cell	H358 H660
DNA rearrangement	Undetectable	Adenocarcinoma	H969
Abnormal size mRNA	+ + + Trace	Small cell Adenocarcinoma Adenosquamous Small cell	H526 H676 H647 H82
Point or small mutation	+ + + + H Reduced Reduced	Small cell Pulmonary carcinoid Adenocarcinoma Bronchioloalveolar Adenosquamous Large cell Small cell Adenocarcinoma	H1436, H1450 H727 H23 H820 H125 H661 H889, H1092 H920
None detected	Trace Reduced	Small cell Squamous	H60, H69, H209, N417 H520
None detected	+ + + +	Small cell Extrapulmonary small cell Adenosquamous Squamous Large cell	H187, H345, H378 H510 H596 H226 H460, H1385

### SCLC genetic abnormalities

#### Genomic abnormalities of SCLC: WES Analysis



George et al. Nature 2015;524(7563):47-53

## SCLC pathways

#### Pathways that are recurrently affected in SCLC



George et al. Nature 2015;524(7563):47-53

### **TP53 and RB inactivation**

# Conditional inactivation of Trp53 and Rb1 led to SCLC in mouse model



Hyperplastic focus in the airway (H&E staining)

Anti-BrdU staining

SCLC became detectable within 196-350 days in the mouse model with conditional KO of TP53 and Rb1.

Meuwissen R et al. Cancer Cell. 2003, 4: 181-9

### SCLC conversion

SCLC conversion as a resistance mechanism to EGFR TKI in lung adenocarcinoma: Loss of TP53 and Rb genes



b				
Sample	Normal liver	Diaphragm tumour	Lung tumour	Liver tumour
Histological features	Normal tissue	Adenocarcinoma	SCLC	SCLC
Number of reads	179,298,190	350,864,233	388,189,232	318,482,313
Average coverage	146	287	319	253
Primary EGFR mutation	WT	L858R	L858R	L858R
Secondary EGFR mutation	WT	T790M	WT	WT
PIK3CA status	WT	WT	E545K	E545K
TP53 status	WT	WT/Δ154–163	–/∆154–163	–/∆154–163

Niederst MJ et al. Nat Commun. 2015;6:6377.

Table 1	RB status	of TKI-res	istant patients.
---------	-----------	------------	------------------

Patient	Cancer type	Resistance	Histology	RB status	Detection method
1	Lung	Pre	Adeno	Pos	IHC
	Lung	Post	NE	Neg	IHC/genetic
	Lung	Post	NE	Neg	IHC/genetic
2	Lung	Pre	Adeno	Pos	IHC
	Lung	Pre	Adeno	Neg	IHC
	Lung	Post	NE	Neg	IHC
3	Lung	Pre	Adeno	Pos	IHC
	Lung	Post	NE	Neg	IHC
4	Lung	Post	NE	Neg	IHC
5	Lung	Post	NE	Neg	IHC
6	Lung	Pre	Adeno	Neg	IHC
	Lung	Post	NE	Neg	IHC/genetic*
7	Lung	Post	Adeno	Pos	IHC/genetic
	Lung	Post	NE	Neg	IHC/genetic
	Lung	Post	NE	Neg	Genetic
8	Lung	Post	Adeno	Pos	IHC
	Lung	Post	NE	Neg	IHC
9	Lung	Post	NE	Neg	IHC
10	Lung	Post	Adeno	Neg	IHC
11	Lung	Pre	Adeno	Pos	IHC
	Lung	Post	Adeno	Pos	IHC
12	Lung	Pre	Adeno	Pos	IHC
	Lung	Post	Adeno	Pos	IHC
13	Lung	Post	Adeno	Pos	IHC
14	Lung	Pre	Adeno	Pos	IHC
	Lung	Post	Adeno	Pos	IHC
15	Lung	Post	Adeno	Pos	IHC
16	Lung	Pre	Adeno	Pos	IHC
	Lung	Post	Adeno	Pos	IHC
17	Lung	Pre	Adeno	Pos	IHC
	Lung	Post	Adeno	Pos	IHC
18	Lung	Post	Adeno	Pos	IHC
19†	Lung	Intrinsic	NE	Neg	IHC

### SCLC metastasis

#### Nfib and Mycl drives metastasis in SCLC



Semenova et al. 2016, Cell Reports 16, 631–643 Denny et al. 2016, Cell 166, 328–342 Wu, et al. 2016, Oncotarget, 36(7):57514-24

### Notch signaling

#### Notch Signaling Pathway



#### Notch decreases SCLC growth

Forced activation of Notch signaling decreased SCLC growth in a transgenic mouse model



George et al. Nature 2015;524(7563):47-53

Examples of Translational medicine: Story of Rova-T

#### **DLL3** overexpression

#### Overexpression of DLL3 in SCLC





Saunders LR et al. Sci Tranl Medicine 2015

## **ROVA-T**

#### Rova-T: a DLL3 targeting antibody-drug conjugate



# Subject characteristics

#### Subject Baseline Characteristics (n=74)

Characteristic	Number (%)	Characteristic	Number (%)
Median Age, years (range)	61 (38-81)	Prior Lines of Therapy: 1 / 2	39 (53%) / 35 (47%)
Female	32 (43%)	Prior treatments	71 (06%)
Baseline ECOG: 0 / 1 / 2	21 (28%) / 50 (68%) / 3 (4%)	Platinum/Other	5 (7%)
Extensive Disease at Presentation	56 (76%)	Platinum/Etoposide/Other Topotecan	7 (9%) 8 (11%)
Response to 1 <sup>st</sup> line therapy Sensitive <sup>1</sup> Resistant <sup>2</sup> Refractory <sup>3</sup>	39 (53%) 23 (31%) 7 (9%)	Temozolomide ABT-888 Radiation Other	10 (14%) 8 (11%) 61 (82%) 16 (22%)
Not evaluable Treatment-Free Interval (before 2 <sup>nd</sup> line)	5 (7%) 4.1 months (0.2-89.1)	Tumor DLL3 Expression (any intensity): ≥ 1% of tumor cells ≥ 50% of tumor cells	42/48 (88%) 32/48 (67%)
Hx CNS mets (Per Investigator)	21 (28%)	1.2 Best response of SD or better to	1st line therapy, and 1st-2 <sup>nd</sup>

line TFI 1≥ 90 days or 2<90 days.

<sup>3</sup> Best response of PD to 1<sup>st</sup> line therapy.



#### C Rudin 2016 ASCO: LBA8505

### Waterfall plot

Waterfall plot showing best change in tumor burden from baseline at active treatment doses (n=60)



Rudin et al. Lancet Oncology 2017, 18:42-51

#### **RECIST responses**

#### **RECIST Confirmed Responses per Investigator**



Skdes one the property of the author. Perintision regulard for reuse.

C Rudin 2016 ASCO: LBA8505

Immunotherapy in SCLC

## Immune checkpoints

#### PD-1 and PD-L1 Checkpoint Signaling blocking immune activation



Patel et al. World J Immunol 2015; 5(1):1-15

# **Mutation loads**

#### Mutation loads in different cancer types



Figure 1 | The prevalence of somatic mutations across human cancer types. Every dot represents a sample whereas the red horizontal lines are the median numbers of mutations in the respective cancer types. The vertical axis (log scaled) shows the number of mutations per megabase whereas the different cancer types are ordered on the horizontal axis based on their median numbers of somatic mutations. We thank G. Getz and colleagues for the design of this figure<sup>26</sup>. ALL, acute lymphoblastic leukaemia; AML, acute myeloid leukaemia; CLL, chronic lymphocytic leukaemia.

### PD-1 and PD-L1

PD-1 and PD-L1 are expressed in the tumor stroma of small cell carcinoma.





Patel et al. World J Immunol 2015; 5(1):1-15

Schultheis AM, et al. European J of Cancer (2015)

### IHC staining of PD-1/PD-L1 in SCLC Specimens

					n (%)
A					
Sample		Pulmon	ary		61 (65%)
		Extrapu	lmonary		33 (35%)
		Total	-		94
Origin		Primary	7		45 (48%)
		Metasta	isis		49 (52%)
		Total			
Specimen		Resection	on		51 (54%)
-		Biopsy			43 (46%)
		Total			94
PD-1			PD-L1		
	Tumour	Stroma		Tumour	Stroma
В					
Cases	94	94	Cases	92	92
Positive	0	45	Positive	0	17
%	0.0%	47.9%	0	0.0%	18.5%

 $\rho$ (PD-1, PD-L1): 0, 35.

Schultheis AM, et al. European J of Cancer (2015)

#### **PD-L1** expression

#### Different findings on frequency of PD-L1 expression in lung cancer



#### Komiya et al. European J of Cancer 2015, 51:1853-55

### **PD-L1** amplification

# CD274 (PD-L1) gene is amplified in 1.9% of SCLC





George et al. Clinical Cancer Research 2017, 23(5):1220-6

#### Checkmate 032 study

#### Nivolumab +/- Ipilimumab in Recurrent SCLC: CheckMate 032 Study Design





Scott A et al. 2016 ASCO: abstr 100 5

### Nivolumab plus ipilimumab

#### Nivolumab +/- Ipilimumab in Recurrent SCLC: Baseline Patient Characteristics

	Nivolumab-3 (n = 98)	Nivolumab-1 + lpilimumab-3 (n = 61)	Nivolumab-3 + Ipilimumab-1 (n = 54)
Median age, years (range)	62.5 (45-81)	66.0 (37-84)	61.0 (34-74)
Male, %	62	57	59
Race, % White Black/African American Other	93 3 4	98 2 0	96 0 2
Prior treatment regimens, % 1 2-3 >3	41 56 3	52 38 10	43 52 6
Current/former smoker, %	97	93	89
PD-L1 expression level,% ≥1% <sup>a</sup> <1% <sup>a</sup> Not evaluable/missing <sup>b</sup>	14 86 30	24 76 39	13 88 26

\*Percentage of PD-L1 evaluable patients; \*Percentage of all patients in cohort

Scott A et al. 2016 ASCO: abstr 100 6

### Nivolumab

	Nivolumab 3 mg/kg (n=98)	Nivolumab 1 mg/kg plus ipilimumab 3 mg/kg (n=61)	Nivolumab 3 mg/kg plus ipilimumab 1 mg/kg (n=54)	Ni 3	volumab 8 mg/Kg		Anderset jamping     Cardenard jamping regions a compare region     Card Rationard accuracy of tear leads     Arcours of leads and     Arcours revealed to 100%
Objective response; 95% CI	10 (10%; 5-18)	14 (23%; 13-36)	10 (19%; 9-31)				Recolumate 3 mights plus golernamate 3 mights Coldmand partial improve or complete region Find documentation contents of selections Present, of insulment
Best overall response				Nivo	1 mg/kg	1 - 1	C Scharge root and the sec
Complete response	0	1(2%)	0		+	and the	
Partial response	10 (10%)	13 (21%)	10 (19%)	Ipi	3 mg/kg	1 m 1 m	
Stable disease	22 (22%)	13 (21%)	9 (17%)			· · · · ·	
Progressive disease	52 (53%)	23 (38%)	29 (54%)			° • • • • •	2.
Unable to determine	12 (12%)	8 (13%)	6 (11%)			4 4 4 4 4 4	*******
Not reported	2 (2%)	3 (5%)	0			¢	
Time to objective response (IQR), months Data are n (%) unless othe	2-0 (1-3-2-8)	2·1 (1·4-2·8) atients were enrolle	1-4 (1-3-2-7) ed at least 90 days	Nivo	3 mg/kg + 1 mg/kg		Reinhande () regitting plan (planearde ), regitting     Confirment (partial regiones in complete regione     Frage documentation continues of the Brease     Recently of resonance     Recently of resonance     Recently resonance to 100%
Table 2: Tumour respon	ise					- ACT	

.

### Nivolumab plus ipilimumab

#### Nivolumab +/- Ipilimumab in Recurrent SCLC: Treatment-Related AEs in ≥10% of Patients

	Nivolumab-3 (n = 98)		Nivolumab-1 - (n =	Nivolumab-1 + Ipilimumab-3 (n = 61)		+ Ipilimumab-1 : 54)
	Any grade, %	Grade 3-4, %	Any grade, %	Grade 3-4, %	Any grade, %	Grade 3-4, %
Total treatment-related AEs	53	13	79	30	74	19
Fatigue	11	1	26	0	22	0
Pruritus	11	0	20	2	9	0
Diarrhea	7	0	21	5	17	2
Nausea	7	0	11	2	7	0
Decreased appetite	6	0	7	0	11	0
Hypothyroidism	3	0	16	2	7	0
Hyperthyroidism	2	0	11	0	6	0
Rash	2	0	20	3	7	0
Rash, maculopapular	1	0	13	3	4	0
Lipase increased	0	0	11	8	0	0
Treatment-related AEs leading to discontinuations		5	1	1	1	,

Two treatment-related deaths occurred in the nivolumab-1 + ipilimumab-3 arm: one due to myasthenia gravis and one due to
worsening of renal failure. One treatment-related death due to pneumonitis occurred in the nivolumab-3 + ipilimumab-1 arm

Treatment-related limbic encephalitis was reported in 2 (1%) patients; 1 case resolved, and outcome for 1 case was not reported

Treatment-related pneumonitis occurred in 8 (4%) patients; 6 cases resolved, outcome for 1 case is unknown, and 1 case was fatal
 Scott A et al. 2016 ASCO; abstr 100

### Tumor mutation burden

# Tumor mutation burden is a potential biomarker of anti-PD1 therapy efficacy

	Nivolumab	Nivolumab plus Ipilimumab
All patients	10%	21%
High TMB	21%	46%
Medium TMB	7%	16%
Low TMB	5%	22%

Hellmann, et al. IASLC 2017

## Other promising agents

# Other promising agents that are under clinical development

- Wee1 inhibitor (AZD1775)
  - 20% of ORR in a phase I trial that included patients (10) with ovarian Ca and SCLC (2016 AACR CT013)
- PARP inhibitor

 Talazoparib (BMN 673), a highly potent PARP1/2 inhibitor, showed single agent anti-tumor activity in a twostage (dose-escalation and dose expansion) phase I study, with PR in 2/20 patients (10%), and clinical benefit in 5/20 (25%) (2014 ASCO abstract #7522].

- SLFN11 is a potential predictive biomarker of sensitivity to PARP inhibitor therapy in SCLC (Lok et al. Clinical Cancer Research).

- Aurora kinase A inhibitor
  - Alisertib (MLN8237), a selective inhibitor of AURKA, resulted in PR in 10 (21%) of 48 participants with SCLC in a multicenter, phase II trial with pre-treated patients with different advanced solid cancers.
  - A phase II study of alisertib (MLN8237) in combination with paclitaxel versus placebo as second line therapy for SCLC is currently ongoing (NCT02038647).
- ATR inhibitor (VX-464)
- · BET bromodomain inhibitor

## Waterfall plot



[NCI J Natl Cancer Irat (2016) 108(10): djw122

dol: 10.1003/jn/C/0/w122 First published online May 31, 2016 Article

#### ARTICLE

Small Cell Lung Cancer Screen of Oncology Drugs, Investigational Agents, and Gene and microRNA Expression

Differential sensitivity to various classes of oncology drugs and investigational agents.



### SCLC project



#### Small Cell Lung Cancer Project Site Navigation

Project Documentation	Data Downloads		
Home/Search Page	Compound Data 0 Rows	Aflymetrix Data 0 Rows	NanoString Data 0 Rows

#### Small Cell Lung Cancer Project Data Search

Instructions

**Data Search Criteria** 

Erea, from tuna or convinatia easorh terme for any combination of NSC number or down name, cana symbol, or microRNA id (at least one).

### Extrapulmonary small cell carcinoma (EPSCC)

#### Table 1

Frequency of EPSCC per site of origin.

	Percentage of SCC/total per site of origin	Estimated number of patients in US per year*
Pulmonary	15–20%	32,250-43,000
Oesophagus	0.8-2.4%	130–395
Larynx	0.5–1%	60-120
Bladder	0.3-1.0%	200-680
Cervix	±1%	±110
Prostate	±2%	±250
Unknown primary	7–30% of all EPSCC	70–300

SCC denotes small cell carcinoma; EPSCC denotes extrapulmonary mall cell carcinoma.

\* http://www.cancer.gov/cancertopics/pdq.

### Summary

- SCLC is a recalcitrant cancer and new therapy is urgently needed.
- Inactivation of TP53 and RB1 are almost universal in SCLC.
- Newer therapies are on the horizon: Rova-T ADC and Immunotherapy with immune checkpoint inhibitors